

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Werner GAUWEILER, et al.

SERIAL NO: 10/525,587

GAU:

FILED: February 25, 2005

EXAMINER:

FOR: THE PRODUCTION OF AQUEOUS DISPERSIONS OF CATIONIC HOMO-AND COPOLYMERS USING AMPHOTERIC PROTECTIVE COLLOIDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

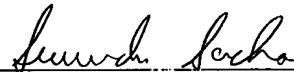
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
266140US0PCTSERIAL NO.
10/525,587

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Werner GAUWEILER, et al.FILING DATE
February 25, 2005

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 330 650	07/19/94	BYRNE et al.			
	AB	4 806 345	02/21/89	BHATTACHARYYA			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AJ	0 915 107	05/12/99	EP (with English abstract)		NO
	AK	0 717 056	06/19/96	EP		NO
	AL	02/38639	05/16/02	WO (with English abstract)		NO
	AM	197 31 907	01/28/99	DE		NO
	AN	0 637 581	02/08/95	EP (equivalent of US 5210222)		NO
	AO	1 064 924	01/03/01	EP		NO
	AP	0 183 466	06/04/86	EP		NO
	AQ	00/20470	04/13/00	WO (English abstract only)		NO
	AR	93/25595	12/23/93	WO		NO
	AS	97/35544	10/02/97	WO		NO
	AT	98/14405	04/09/98	WO		NO
	AU	98/14490	04/09/98	WO		NO
	AV	99/46207	09/16/99	WO		NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	FIKENTSCHER, Von H. "Systematik der Cellulosen auf Grund ihrer Viskosität in Loesung", Cellulose-Chemie, vol. 13, pages 58-64 1932				
	AX					
	AY					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No.: 10/525,587
Docket No.: 266140US0PCT

STATEMENT OF RELEVANCY

- 1) References AJ-AL have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AB, AM-AW are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
266140US0PCT*	10/525,587	02/25/05		GAUWEILER, et al.
PER CLIENT	09/604,001	UNKNOWN		UNKNOWN

*Present Application; listed for information
NFO/hah